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## **PATENT APPLICATION**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In rathe Application of

Kazuhiko OHRAI et al.

Group Art Unit: 1752

Application No.: 10/590,975

Filed: October \$27, 2006

Docket No.: 12/259

For: TRICYCLIC BENZOPYRANE COMPOUND AS ANTI-ARRHYZHMIC AGENTS

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. Relevance of one or more non-English language reference is discussed in the present specification. See Reference 21.
- 3. One or more reference cited herein was cited in the International Search Report. An English language version of the International Search Report is attached for the Examiner's information. See References 1 5, 15 20 and 22.
- 4. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- 5. An English language Abstract of one or more non-English language reference is attached hereto. See References 6, 8, and 19.

**Rec**eipt date: 12/21/2006 Application No. 10/590,975  $\boxtimes$ 6. Reference 1 corresponds to reference 15. Reference 2 corresponds to reference 16. Reference 3 corresponds to reference 17. Reference 4 corresponds to reference 18. Reference 5 corresponds to reference 20. Respectfully submitted, James A. Oliff Registration No. 27 \$\mathcal{B}\$ Randi B. Isaacs, Registration No. 56,046 JAO:RBI/kam Date: December 21, 2006 DEPOSIT ACCOUNT USE **AUTHORIZATION** OLIFF & BERRIDGE, PLC Please grant any extension P.O. Box 19928 necessary for entry; Alexandria, Virginia 22320 Charge any fee due to our Telephone: (703) 836-6400 Deposit Account No. 15-0461 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T Receipt date: 12/21/2006.

Form PTO-1449 US Dept. of Commerce ATTY DOCKET NO. APPLICATION NO. (REV. 1/06) PATENT & TRADEMARK OFFICE 129259 10/590,975 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) APPLICANT(S) Kazuhiko OHRAI et al. FILING DATE **GROUP** 1752 October 27, 2006 U.S. PATENT DOCUMENTS Examiner Cite Initials Document Number No. Date Name 1 5,919,806 07/06/1999 SETO et al. 2 5,164,509 11/17/1992 ATWAL 3 4,900,752 02/13/1990 SETO et al. 4 5,319,089 07/07/1994 MATSUMOTO et al. 5 5,843,989 12/01/1998 VONG et al. FOREIGN PATENT DOCUMENTS Examiner Cite With With Initials No. Document Number Date Country English English Abstract Translation 6 WO 99/62867 A1 12/09/1999 WIPO Х 7 WO 00/12492 A1 03/09/2000 WIPO 8 WO 00/58300 A1 10/05/2000 **WIPO** Х 9 WO 01/21610 A1 03/29/2001 WIPO 10 WO 01/21609 A1 03/29/2001 WIPO 11 WO 01/25224 A1 04/12/2001 WIPO 12 WO 02/064581 A1 08/22/2002 WIPO 13 WO 03/000675 A1 01/03/2003 WIPO 14 WO 03/014113 A1 02/20/2003 **WIPO** OTHER DOCUMENTS Examiner Cite (Including Author, Title, Date, Pertinent Pages, etc.) Initials No. DATE CONSIDER FO09 **EXAMINER** /Taofiq Solola/ Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance Examiner: and not considered. Include copy of this form with next communication to applicant.

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ATTY DOCKET NO. Form PTO-1449 US Dept. of Commerce APPLICATION NO. (REV. 1/06) PATENT & TRADEMARK OFFICE 129259 10/590,975 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) APPLICANT(S) Kazuhiko OHRAI et al. FILING DATE **GROUP** October 27, 2006 1752 U.S. PATENT DOCUMENTS Examiner Cite Initials No. Document Number Date Name FOREIGN PATENT DOCUMENTS Examiner Cite With With Initials No. Document Number Date Country English English Abstract Translation 15 EP 0 693 283 A1 01/24/1996 **EUROPE** 16 EP 0 488 107 A2 06/03/1992 **EUROPE** EP 0 327 127 A1 17 08/09/1989 **EUROPE** 18 EP 0 409 165 A2 01/23/1991 **EUROPE** 19 JP A 2-4791 01/09/1990 **JAPAN** 20 WO 95/34547 A1 12/21/1995 WIPO JP A 58-67683 21 04/22/1983 **JAPAN** OTHER DOCUMENTS Examiner Cite (Including Author, Title, Date, Pertinent Pages, etc.) Initials No. 22 Chan, Wai N. et al. "Conformational Preference of the 6-acetyl Group in Novel Anticonvulsant trans 4s-benzamidobenzo[b]pyran-3r-ols," Bioorganic & Medicinal Chemistry Letters, Vol. 7, No. 12, pp. 1573 - 1576, 1997. **EXAMINER** DATE CONSIDERED 03/25/2009 /Taofiq Solola/ Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2